4m

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/812,945 TRANSMITTAL Filing Date March 27, 2001 First Named Inventor **FORM** Hsuan-Yin Lan-Hargest et al. Art Unit 1617 **Examiner Name** Shengjun Wang (to be used for all correspondence after initial filing) Attorney Docket Number 15128.0002 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Identify **Terminal Disclaimer Extension of Time Request** Response to Second Restriction Request for Refund **Express Abandonment Request** Requirement (2 pages); and Return Receipt Postcard CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Steptoe & Johnson LLP Signature Printed name Harold H. Fox

CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Typed or printed name

Reg. No.

41,498

Date

April 11, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

APR 1 1 2005 55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Hsuan-Yin Lan-Hargest et al.

Examiner: Wang, Shengjun

Serial No. 09/812,945

Group Art Unit: 1617

Filed: March 27, 2001

Docket No.: 15128.0002

For: Histone Deacetylase Inhibitors

Mail Stop Amendment

United States Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

RESPONSE TO SECOND RESTRICTION REQUIREMENT

Applicants have discovered a method of inhibiting histone deacetylase activity in cells which includes contacting the cells with an effective amount of a compound of formula (I), thereby treating one or more disorders mediated by histone deacetylase. See claim 1.

In response to the outstanding Restriction Requirement dated March 11, 2005, Applicants elect, with traverse, Group IV, claims 1, 2, 4-7, 10, 12, 17, 18, 40-42, 44-46 with the understanding that the Examiner has designated Group IV as a method of inhibiting histone deacetylase activity in cells. This method includes contacting the cells with an effective amount of a compound of formula (I) thereby treating one or more disorders mediated by histone deacetylase and wherein said disorder is cancer.

Applicants understand that each group was further restricted based on group A and elect with traverse, compounds in which A is a phenyl group. Applicants further confirm the previous election of 7-phenyl-2,4,6-hepta-trienoylhydroxamic acid for examination.

Applicants make this election with traverse. It is not apparent to the Applicants what the Examiner's reasons were for the present restriction. In Applicants' response to the Examiner's previous restriction requirement dated February 6, 2002, Applicants elected 7-phenyl-2,4,6-hepta-trienoylhydroxamic acid as the elected compound and cancer as the elected disorder. These elections were affirmed by the Board of Patent Appeals and Interferences in their decision

Applicant: Hsuan-Yin Lan-Hargest et al. Attorney's Docket No.: 15128.0002

Serial No.: 09/812,945 Filed: March 27, 2001

Page : 2 of 2

mailed December 24, 2003. Groups I, II, III, V, and VI-XI as proposed by the Examiner, do not fall within the scope of the previously elected compound or disorder.

Further, the Examiner has now restricted the elected invention to Groups I-XI "[i]n view [sic] the complexity of the remain [sic] subject matters within the elected invention." Office Action at page 2. However, MPEP 803 states that "[i]f the search and examination of an entire application can be made without serious burden, the examiner must examine it on the merits, even though it includes claims to independent or distinct inventions" (emphasis added). Under the guidelines set forth in the MPEP, "a serious burden on the examiner may be *prima facie* shown if the examiner shows by appropriate explanation of separate classification, or separate status in the art, or a different field of search." Given the fact that the previously elected claims have been extensively examined since 2001 and the fact that the currently restricted groups do not read on the previously elected compound and disorder, Applicants assert that the Examiner has not met this *prima facie* showing in this current Office Action.

In conclusion, Applicants believe that the Applicants' response to the last Office Action dated November 2, 2004, addresses and overcomes all claim rejections set forth by the Examiner. As such, the claims and all dependent claims, meet all criteria for patentability and are in condition for allowance.

Should any fees be required by the present Amendment, the Commissioner is hereby authorized to charge Deposit Account 19-4293. If, for any reason, a telephonic conference with the Applicants would be helpful in expediting prosecution of the instant application, the Examiner is invited to call Applicants' Attorney at the telephone number provided below.

Respectfully submitted,

Harold H. Fox Reg. No. 41,498

Customer No. 27890 Steptoe & Johnson LLP 1330 Connecticut Avenue, NW Washington, DC 20036-1795

Phone: 202-429-6748 Fax: 202-429-3902 Date: April 11, 2005